

## **Cathay General Bancorp and Cathay Bank Code of Ethics**

### **Purpose**

This Code of Ethics is adopted by the Board of Directors of Cathay General Bancorp pursuant to section 406 of the Sarbanes-Oxley Act of 2002 and the rules promulgated thereunder by the Securities and Exchange Commission. It contains standards reasonably necessary to promote honest and ethical conduct and compliance with applicable laws, rules, and regulations. All directors, officer, and employees of Cathay General Bancorp and/or its subsidiary Cathay Bank (together, separately or collectively, as the context may require, the "Company") shall comply with this Code of Ethics.

### **Ethical Conduct**

All directors, officers, and employees of the Company shall:

1. Act with honesty and integrity, avoiding actual or apparent conflicts of interest in personal and professional relationships.
2. Provide, or cause to be provided, information that is accurate, complete, relevant, timely, and understandable to ensure full, fair, accurate, timely, and understandable disclosure in reports and documents that the Company files with or furnishes to the Securities and Exchange Commission and in other public communications.
3. Seek to comply with applicable federal, state, and local governmental laws, and the rules and regulations of private and public regulatory agencies having jurisdiction over the Company.
4. Act in good faith, responsibly, with due care, and diligence, without misrepresenting material facts or allowing independent judgment to be subordinated.
5. Respect the confidentiality of information acquired during the course of work, except when authorized or otherwise legally obligated to disclose such information, and not use such information for personal advantage.
6. Share knowledge and maintain skills that are important and relevant to their constituents' needs.
7. Proactively promote ethical behavior among subordinates and peers.
8. Achieve responsible use of and control over all assets and resources employed or entrusted to them.
9. Comply with the Company's Code of Personal and Business Conduct and Insider Trading Policy.
10. Promptly report any possible violation of this Code of Ethics to the Chairman of the Audit Committee.

### **Accountability and Consequences of Violations.**

All directors, officers, and employees of the company shall be held accountable for adherence to this Code of Ethics. Failure to observe the terms of this Code of Ethics may result in actions that could include, but not limited to, the following:

1. Disciplinary action up to and including suspension or termination of employment;
2. Pursuit of any and all remedies available to the Company for any damages or harm resulting to the Company from the violation, including injunctive relief; and
3. Referral of matters to appropriate legal or regulatory authorities for investigation and prosecution.