

Cathay General Bancorp and Cathay Bank Code of Ethics for Senior Financial Officers

Purpose

This Code of Ethics for Senior Financial Officers is adopted by the Board of Directors of Cathay General Bancorp pursuant to section 406 of the Sarbanes-Oxley Act of 2002 and the rules promulgated thereunder by the Securities and Exchange Commission. It contains standards for Senior Financial Officers reasonably necessary to promote honest and ethical conduct and compliance with applicable laws, rules, and regulations. For purposes of this Code of Ethics, the term Senior Financial Officer(s) shall mean and include the Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, General Counsel, Controller, and Chief Internal Auditor, or persons performing similar functions, and other senior officers that hold significant positions of leadership and trust at Cathay General Bancorp and/or its subsidiary Cathay Bank (together, separately or collectively, as the context may require, the "Company").

Ethical Conduct by Senior Financial Officers

Senior Financial Officers shall:

1. Act with honesty and integrity, avoiding actual or apparent conflicts of interest in personal and professional relationships.
2. Provide, or cause to be provided, information that is accurate, complete, relevant, timely, and understandable to ensure full, fair, accurate, timely, and understandable disclosure in reports and documents that the Company files with or furnishes to the Securities and Exchange Commission and in other public communications.
3. Seek to comply with applicable federal, state, and local governmental laws, and the rules and regulations of private and public regulatory agencies having jurisdiction over the Company.
4. Act in good faith, responsibly, with due care, and diligence, without misrepresenting material facts or allowing independent judgment to be subordinated.
5. Respect the confidentiality of information acquired during the course of work, except when authorized or otherwise legally obligated to disclose such information, and not use such information for personal advantage.
6. Share knowledge and maintain skills that are important and relevant to their constituents' needs.
7. Proactively promote ethical behavior among subordinates and peers.
8. Achieve responsible use of and control over all assets and resources employed or entrusted to them.
9. Comply with the Company's Code of Personal and Business Conduct and Insider Trading Policy.
10. Promptly report any possible violation of this Code of Ethics to the Chairman of the Audit Committee.

Accountability and Consequences of Violations.

Senior Financial Officers shall be held accountable for adherence to this Code of Ethics. Failure to observe the terms of this Code of Ethics may result in the Senior Financial Officer being subjected to the following actions, including, but not limited to the following:

1. Disciplinary action up to and including suspension or termination of employment;

2. Pursuit of any and all remedies available to the Company for any damages or harm resulting to the Company from the violation, including injunctive relief; and
3. Referral of matters to appropriate legal or regulatory authorities for investigation and prosecution.

Annual Certifications

On an annual basis, each Senior Financial Officer shall acknowledge and certify in writing his or her compliance with this Code of Ethics.